



SPECIALIZED DOCKET CERTIFICATION STANDARDS - COVID GUIDANCE

STANDARD	RECOMMENDED PRACTICE	ADJUSTED PROPOSAL
1. Planning Process	<ul style="list-style-type: none"> • Agreement among relevant parties, advisory committee and txt team; written program description, participation agreement and handbook. 	<ul style="list-style-type: none"> • Advisory committee meetings should continue telephonically or through video conference; and • Treatment team meetings should be frequent via telephone or video conference, with a frequency of once per week as a minimum.
2. Nonadversarial Approach		
3. Legal and Clinical		<ul style="list-style-type: none"> • Legal and clinical criteria should remain the same; continue screening cases as referred through the court for eligibility.
4. Assessment and Referral	<ul style="list-style-type: none"> • Promptly assess individuals and refer them to appropriate services. 	<ul style="list-style-type: none"> • Prompt assessment for the potential participant is still key: <ul style="list-style-type: none"> • assess ability to conduct legal assessments through phone/video; and • refer to treatment agency for the clinical assessment. • Place new participants under reporting supervision, which may be modified to telephonic check-ins: <ul style="list-style-type: none"> • increase frequency of contact if done through telephone/video.
5. Individualized Needs and EBP	<ul style="list-style-type: none"> • Plan to provide services that meet the individualized needs of each participant. 	<ul style="list-style-type: none"> • Provide Covid-19 information to participants in a reassuring manner that does not contribute to panic.
6. Participant Monitoring	<ul style="list-style-type: none"> • Regular treatment team meetings prior to status review hearings. • Regular status review hearings. • Ongoing communication amongst treatment team members. • Progression through phases based on performance according to treatment plan and compliance with phase expectations. • Explanation of responses to compliance and noncompliance. 	<ul style="list-style-type: none"> • Continue treatment team meetings via telephone/video. • Carefully consider in-person status review hearings. • Evaluate court capacity for group hearings using virtual resources/telephone. • Maintain communication through email, phone, or video. • Participants should still progress through phases if they are meeting treatment benchmarks and/or phase compliance. • Maintain contact with participants. • Confirm contact information (especially phone numbers) with all participants. • Confirm that participants have your contact information in their phones and remind/reaffirm that they must contact you if they are sick or need to miss a scheduled program activity.

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7. Status Review Hearings	<ul style="list-style-type: none"> • Ongoing judicial interaction. • Appearance before judge - twice monthly in initial phase, regularly thereafter. 	<ul style="list-style-type: none"> • Attempt to maintain ongoing judicial interaction/check-ins; <ul style="list-style-type: none"> • through telephone or video conferences with participant and team members. • Discourage in-person hearings for the time being; <ul style="list-style-type: none"> • perhaps move these to telephone or video check-ins w/team.
8. Substance Use Monitoring	<ul style="list-style-type: none"> • Written policies and procedures for sample collection, analysis, and result reporting. • Individualized drug and alcohol testing plans. • Testing shall be random, frequent, and observed. • Well established plan for participant who tests positive at intake or who relapses. • Immediate notification to the court when participant tests positive, fails to submit, submits an adulterated sample or sample of another, or dilutes the sample. 	<ul style="list-style-type: none"> • If moving to alternative drug testing types, write a policy and procedure for sample collection, analysis, and result reporting. • Individualized drug testing plans should be maintained. • Random, frequent, and observed as much as possible considering tests that are available to reduce human contact. • Maintain a plan for those who test positive or relapse – <ul style="list-style-type: none"> • work with treatment providers on treatment responses; <ul style="list-style-type: none"> • if the court will require the participant to appear/order arrest consider under what circumstances. • Immediate notification should continue as before.
9. Treatment and other Rehabilitation Services	<ul style="list-style-type: none"> • Docket shall provide prompt access to a continuum of approved txt and rehabilitation services. • Maintain current treatment plan and record of activities. • All treatment shall be provided by programs or persons who are licensed and trained to deliver such services according to the standards of the profession. 	<ul style="list-style-type: none"> • Docket should work with treatment providers to assess their options at this time for telephone/video treatment and services. • Maintain current treatment plan and record of activities. • All new/adjusted programming should continue to be provided by programs/persons who are licensed and trained according to standards of the profession.
10. Incentives and Sanctions	<ul style="list-style-type: none"> • Immediate, graduated, and individualized incentives and sanctions shall govern responses. 	<ul style="list-style-type: none"> • Meet with team to consider a different spectrum of incentives and sanctions: <ul style="list-style-type: none"> • incentives may include gift cards to delivery places, reduced assignments. • sanctions may include increased telephone reporting, writing assignments to be submitted via email, reading assignments if they have access to online articles. • Creativity with incentives and sanctions is encouraged.
11. Professional Education	<ul style="list-style-type: none"> • Continuing interdisciplinary education. 	<ul style="list-style-type: none"> • Continuing interdisciplinary education: <ul style="list-style-type: none"> • GoToMeeting roundtables; • check Judicial College for online trainings; • access training on NDCI's website.
12. Effectiveness Evaluation	<ul style="list-style-type: none"> • Reporting data to the Supreme Court of Ohio. • On-going data collection to evaluate if docket is meeting its goals and objectives. 	<ul style="list-style-type: none"> • Maintain data collection as much as possible.